

**LEXINGTON-FAYETTE URBAN COUNTY GOVERNMENT
ETHICS COMMISSION
STATEMENT OF FINANCIAL INTERESTS FOR 2014**

Please complete the information in all sections of this form by filling in all spaces. If **any** of the requested information is inapplicable to you, please mark "NONE" in the appropriate space.

For example, if you do not have a work/business address, mark "NONE" on the appropriate line.

Name: _____

Work/Business Address: _____

Work/Business Telephone: _____

Home Address: _____

Title of present or former
public position, or office sought: _____

(i.e. Member of an UCG Commission, Council Member, Mayor, Commissioner of an
UCG Department, Director of an UCG Division, or Constitutional Officer)

Other Occupations of filer: _____

Occupations of spouse: _____

See Section 25-17(2)(a-c).

Check the appropriate box: (If this is the first statement you are filing for year 2013 then it is an original statement).

- Original Statement
 Amended Statement

I. INCOME

A. EARNED INCOME

Earned income is represented by earnings from employment, or personal efforts. If you or your immediate family have earned income in excess of \$500 from any one source, the Source and Nature (commission, fees, salary, pension, etc.) must be reported in this section for both you and each immediate family member. See Section 25-17(2)(d).

For example, you are employed for LFUCG and your spouse is an attorney for WBG Law Firm. You and your spouse both have an annual salary of greater than \$500.00 from each of your respective employers. You must report GG&H Construction and WBG Law Firm as the SOURCE of your earned income and salaries as the NATURE of your earned income in this section.

SOURCE	NATURE (DO NOT LIST \$ AMOUNTS)
i.e. LFUCG	Salary
WBG Law Firm	Salary
_____	_____
_____	_____
_____	_____

B. UNEARNED INCOME

Unearned Income includes, but is not limited to, earnings derived from assets or investments such as interest, rents, royalties, dividends, capital gains, etc. If you or your immediate family have unearned income which exceeds \$500 in value from any one source, the SOURCE and NATURE must be reported in this section for both you and each immediate family member. See Section 25-17(2)(d).

For example, you own stock in X Corporation and received dividends in excess of \$500. You must report X Corporation as the SOURCE of your unearned income and dividends as the NATURE of your unearned income in this section.

SOURCE	NATURE(DO NOT LIST \$ AMOUNTS)
i.e. X Corporation	Dividends
_____	_____
_____	_____
_____	_____

II. HOLDINGS

A. ASSETS LOCATED WITHIN THE STATE

The name and address of any business located within the state in which you or your immediate family had at any time during the preceding calendar year an interest of ten thousand dollars (\$10,000) or more at fair market value or ten percent (10%) ownership interest or more, whichever is less. See Section 25-17(2)(e).

For example, you have 100% ownership in XYZ business which is located in this state. You must report the name and address of XYZ in this section.

NAME OF BUSINESS

i.e. XYZ

ADDRESS OF BUSINESS

123 Bluegrass Dr. Lexington, KY

B. ASSETS LOCATED OUTSIDE THE STATE

If you are the Mayor, member or candidate of the Urban County Council, Commissioner or Division Director, you must complete this section. Otherwise, skip to Section IIC.

If you hold one of the positions listed above, please report the name and address of any business located outside of the state, if the business has engaged in any business transactions with the Urban County Government during the past three (3) years or is anticipated to engage in any business transactions with the Urban County Government, in which you or your immediate family had at any time during the preceding calendar year an interest of ten thousand dollars (\$10,000) or more at fair market value or ten percent (10%) ownership interest or more, whichever is less. See Section 25-17(2)(f).

For example, you are a councilmember and have a 20% ownership interest in KBC, Inc. which is located in Ohio. Two years ago, KBC had a contract with Lexington-Fayette Urban County Government and is anticipating entering into another contract with Lexington-Fayette Urban County Government next year. You must report the name and address of KBC, Inc. in this section.

NAME OF BUSINESS

i.e. KBC, Inc.

ADDRESS OF BUSINESS

123 Bluegrass Dr. Dayton, Ohio

C. REAL ESTATE LOCATED IN FAYETTE COUNTY

The location of all real estate within the county, other than your primary residence, in which you or your immediate family had during the preceding calendar year an interest, having a value exceeding five thousand dollars (\$5,000) at the close of the reporting period, must be reported in this section for both you and each immediate family member. Each location must also be designated as commercial, residential, or rural. See Section 25-17(2)(g).

For example, you own Wildcat Manor which is an apartment complex located near the University of Kentucky. This complex is valued at \$200,000. You must report the address of Wildcat Manor and specify a property category (commercial, residential, or rural).

ADDRESS OF PROPERTY

i.e. 758 King Ave.

DESIGNATION

Commercial

III. CREDITORS

The name and address of any creditor of yours or your immediate family, who is owed more than ten thousand dollars (\$10,000), except debts arising from the purchase of a primary residence or the purchase of consumer goods which are bought or used primarily for personal, family or household purposes must be disclosed in this section for both you and each immediate family member. See Section 25-17(2)(i).

For example, you borrowed \$175,000 from WWW Finance Company to purchase Wildcat Manor. You still owe WWW Finance Company \$75,000. You must report the name and address of WWW Finance Company in this section.

NAME OF CREDITOR

i.e. WWW Finance Company

CREDITOR'S ADDRESS

554 Drew Dr. Lexington, Ky

IV. CONFLICT OF INTEREST

To your knowledge, do either you or your immediate family have any interest in property, tangible or intangible, or any other assets or business that may constitute or cause a conflict with your official duties? If yes, please explain. See Section 25-17(h).

Yes

No

Explanation:

Any individual who knowingly and willfully provides false, misleading, or incomplete information in this form shall be subject to the penalties provided in Chapter 25 of the Lexington-Fayette Urban County Government Code of Ordinances and to criminal prosecution for violation of KRS 523.040.

Signature Date

COMMONWEALTH OF KENTUCKY)
COUNTY OF FAYETTE)

The foregoing Statement of Financial Interests was subscribed, sworn to and acknowledged before me by _____ on this the _____ day of _____, 201__.

My commission expires: _____

NOTARY PUBLIC, STATE AT LARGE, KY

Please refer to this Checklist before you file your Financial Interests Statement with the Council Clerk:

_____ **All Sections are complete with no dollar amounts listed.**

_____ **If a Section is inapplicable to me, I have marked "NONE" in the appropriate space.**

_____ **I have signed the Statement.**

_____ **I had my signature notarized.**

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